

MFP – 5

**Management Programme (MP) /
Post- Graduate Diploma in Financial Markets Practice (PGDFMP)**

**ASSIGNMENT
For
July 2025 and January 2026 Semesters**

MFP – 5: PROFESSIONALS IN FINANCIAL MARKETS PRACTICE

**(Last date of submission for July 2025 Semester is 31st October 2025
and for January 2026 Semester is 30th April, 2026)**



**School of Management Studies
INDIRA GANDHI NATIONAL OPEN UNIVERSITY
MAIDAN GARHI, NEW DELHI – 110 068**

ASSIGNMENT

Course Code	:	MFP – 5
Course Title	:	Professionals in Financial Markets Practice
Assignment Code	:	MFP – 5/TMA/JULY/2025
Coverage	:	All Blocks

Note: Attempt all the questions and submit this assignment to the Coordinator of your study centre. Last date of submission for July 2025 Semester is 31st October 2025 and for January 2026 Semester is 30th April, 2026.

- 1.** What do you understand by ‘Electronic Trading System’ of a Stock Exchange? Explain in detail how it is different from ‘Open Outcry Trading System’.
- 2.** What do you mean by ‘Initial Public Offering’ (IPO)? Discuss in detail the different steps involved in IPO issuing process.
- 3.** What are Mutual Funds? Discuss in detail the structure of Mutual Funds in India.
- 4.** Meet a Portfolio Manager of your choice. Discuss with him/her the procedure and eligibility of becoming a Portfolio Manager and major activities that they perform in managing Portfolio of their clients. Write a note on your discussion.
- 5.** Who are ‘Sub-Broker’, ‘Remisier’ and ‘Authorised Person’? Discuss the respective roles played by them in the Financial Markets.