

No. of Printed Pages : 3

MFP-1

**MANAGEMENT PROGRAMME/
P. G. DIPLOMA IN FINANCIAL
MARKET PRACTICE
(MP/PGDFMP)**

**Term-End Examination
December, 2025**

MFP-1 : EQUITY MARKETS

Time : 3 Hours

Maximum Marks : 100

Note : (i) *Attempt any **five** questions.*

(ii) *All questions carry equal marks.*

1. Define equity shares and discuss its features. Explain the advantages and disadvantages of having equity share in capital structure of a firm.
2. Explain the role of Bombay Stock Exchange (BSE) in the growth of Indian Corporate Sector. Discuss the services offered by BSE.

3. Discuss in detail the different sources that can be tapped for mobilisation of resources from International markets.
4. Explain the Book Building Process for issuance of equity shares. Discuss the roles and responsibilities of intermediaries involved in this process.
5. Explain the process of clearing in the secondary market. Describe the Straight-Through-Processing (STP) System. How do the transactions flow through this system ?
6. What do you understand by De-materialisation of securities ? Discuss its advantages and the process involved for this purpose.
7. What do you understand by Portfolio Management ? Explain the steps involved in the process of portfolio management. What are the fiduciary responsibilities of a portfolio manager ?

[3]

8. Write short notes on any *four* of the following :

- (a) Bonus issues
- (b) Operating Profit
- (c) Behavioural Finance
- (d) Fundamental Analysis
- (e) Red Herring Prospectus (RHP)
- (f) Line charts of stock prices

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