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MFP-001

**MANAGEMENT PROGRAMME/POST
GRADUATE DIPLOMA IN
FINANCIAL MARKET PRACTICE
(MP/PGDFMP)**

Term-End Examination

June, 2026

MFP-001 : EQUITY MARKET

Time : 3 Hours

Maximum Marks : 100

Note : (i) Answer any **five** questions.

(ii) All questions carry equal marks.

1. Describe the evolution of Global Financial Markets and bring out the factors affecting these markets.
2. What are the different types of Equity Shares ? Explain the features of Equity Shares. What are the advantages and disadvantages of Equity Share Capital ?

3. What do you understand by Primary Markets ? Describe the main features of primary markets. Discuss the different ways in which funds are raised in the Primary Markets.
4. What is Book Building Process ? Explain the steps leading to an issue of equity shares using the Book Building Process.
5. Describe the procedure involved in the Rolling Settlement System. Explain the settlement of transactions in the Institutional Segment.
6. What do you understand by Dematerialisation and Rematerialisation of Securities ? Describe the process of Dematerialisation and Rematerialisation.
7. Explain why should an investor develop an Investment Philosophy before investing ? Describe the Popular Investment Styles.

8. Write short notes on any *four* of the following :

- (a) Over the Counter Exchange of India Limited (OTCEI)
- (b) Surveillance in capital markets
- (c) Role of SEBI in Investor Protection
- (d) Cost of Goods Sold (COGS)
- (e) Dividend
- (f) Liquidity Ratios

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