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MMPF-006

**MASTER OF BUSINESS
ADMINISTRATION
(MBA)**

Term-End Examination

June, 2026

**MMPF-006 : MANAGEMENT OF
FINANCIAL SERVICES**

Time : 3 Hours

Maximum Marks : 100

Note : Attempt any ***five*** questions. All questions
carry equal marks.

1. What do you understand by 'Money Markets'
and 'Capital Markets' ? Discuss the

functions of capital markets and the role of different participants in Capital Markets.

2. What are the Objectives, Functions and Powers of Securities Exchange Board of India (SEBI) ? Discuss the important regulations applicable to Mutual Funds as laid down by SEBI.
3. Explain the role of different categories of Brokers, in a stock market transaction process. Describe the services provided by the stockbrokers.
4. Discuss the role and functions of a 'Depository' and 'Depository Participants

(DPs)'. Describe the process of Dematerialization and Rematerialisation of shares.

5. What is 'Capital Structure' and 'Capital Restructuring'. Explain the term 'Merger' and the steps involved in merger.
6. Explain the process of Lease and discuss the essential features of a lease agreement. What are the benefits of leasing ?
7. What is a Portfolio Management Service (PMS) ? Describe the features of PMS. How is PMS different from Mutual Funds ?
8. Write short notes on any *four* of the following :
 - (a) Initial Public Issue

- (b) Credit Rating
- (c) Close-ended Mutual Fund
- (d) Factoring
- (e) Venture Capital
- (f) RuPay Cards

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